FILED VIA EFS ON November 14, 2007

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Diane Clayton and Rebecca Rutter

**Application No.** 10/561,713

Filed: December 21, 2005

Confirmation No. 1023
For: INFLAMMATORY DISEASE

TREATMENT

Examiner:

Art Unit: 1623

Attorney Reference No. 5585-72843-01

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P.O. Box 1450 Alexandria, Virginia 22313-1450

# RENEWED PETITION UNDER 37 C.F.R. § 1.47(a)

This Renewed Petition is filed in response to the decision on applicant's previously submitted petition under 37 C.F.R. § 1.47(a) on November 7, 2006. The decision, dated May 14, 2007, dismissed applicant's previously submitted petition under 37 C.F.R. § 1.47(a) on the ground that petitioner did not present a complete copy of the application papers to the non-signing inventor for her signature.

The decision set a two-month period for response, and a 4-month extension fee is enclosed, making a response due by November 14, 2007.

## Enclosed are the following:

- (a) factual proof that the non-signing joint inventor refuses to execute the application or cannot be reached after diligent effort, which is provided via a Declaration of Kate Taylor and the accompanying exhibits;
- (b) statement providing the last known address of the non-signing inventor (Rebecca Rutter (see second paragraph no. 8 of the enclosed Declaration); and

(c) a declaration by each available joint inventor on her own behalf and on behalf of the non-signing joint inventor.

If there are any questions regarding this petition under 37 C.F.R. § 1.47(a), please telephone the undersigned.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

One World Trade Center, Suite 1600 121 S.W. Salmon Street

Portland, Oregon 97204 Telephone: (503) 595-5300

Facsimile: (503) 595-5301

Ву

Sheree Lynn Rybak, Ph.D Registration No. 47,913

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Diane Clayton and Rebecca

Rutter

**Application No. 10/561,713** 

Filed: December 21, 2005

Confirmation No. 1023

For: INFLAMMATORY DISEASE

**TREATMENT** 

Examiner: not yet assigned

Art Unit: 1623

Attorney Reference No. 5585-72843-01

SUBMITTED VIA ELECTRONIC FILING SYSTEM UNITED STATES PATENT AND TRADEMARK OFFICE

#### DECLARATION OF KATE TAYLOR UNDER 37 C.F.R. 1.47

- 1. I, Kate Taylor, am a patent attorney for the firm of patent and trademark attorneys Harrison Goddard Foote. Harrison Goddard Foote represents Advanced BioNutrition (Europe) Limited (the assignee of the present application).
- 2. Based on personal knowledge, I provide this Declaration to assert that named inventor Rebecca Rutter refuses to sign a Declaration for this application, after *bona fide* attempts requesting her to do so.
- 3. On 25 October 2007, I sent a letter to Mr. T. G. Thompson, non-signing inventor Rebecca Rutter's attorney. I enclosed with the letter a complete copy of the application (including the specification, claims, and drawings) as well as a Declaration for signature by Rebecca Rutter. I requested that the signed Declaration be returned by 7 November 2007. A copy of the letter is shown as attached Exhibit A.
- 4. Mr. T. G. Thompson confirmed receipt of the letter on 25 October 2007, as shown in Exhibit B.
- 5. On 7 November 2007, I received a telephone call directly from Rebecca Rutter, inquiring about the declaration. In particular Rebecca Rutter was inquiring whether by signing

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the declaration she would be relinquishing any rights in the application. I informed Rebecca Rutter that questions of this nature should be directed to her attorney Trevor Thompson.

- 6. On 8 November 2007, I received a letter directly from Rebecca Rutter (see Exhibit C). Rebecca Rutter provides three reasons why she refuses to sign the declaration: (1) she is the sole inventor, (2) her address is incorrect, and (3) Advanced BioNutrition (Europe) Limited (referred to as ABN in the letter) has not offered her "fair and commercially reasonable terms" for the invention. Therefore, even if Rebecca Rutter's address on the Declaration provided to her for signature was correct, she still would not sign it, as my client ABN disputes the conclusion that Rebecca Rutter is the sole inventor, and thus will not remove Diane Clayton from the Declaration. In addition, Rebecca Rutter's conclusion that ABN has not offered Rebecca Rutter "fair and commercially reasonable terms" is not relevant to whether or not she is an inventor, but she continues to use this as a reason for refusing to sign the Declaration.
- 7. Rebecca Rutter further states in her 8 November 2007 letter (see Exhibit C) that she will not sign the Declaration unless two demands are met: (1) that ABN provide her with written assurances that signing the Declaration is not an assignment of her rights to ABN and that the invention was made prior to her employment at ABN and (2) that ABN provide her with a contract for the assignment of rights and commercialization of the product. While my client ABN is agreeable to confirm that signing a Declaration is not an assignment of rights and to provide her with an assignment, ABN disputes the conclusion that Rebecca Rutter invented the claimed subject matter prior to her employment with ABN. My client ABN is also unclear as to what Rebecca Rutter believes constitutes "fair and commercially reasonable terms". Therefore, ABN will not comply with Rebecca Rutter's demands.
- 8. In summary, although we have repeatedly requested that Rebecca Rutter sign a declaration for this application (also see facts stated in Rita Khanna's declaration submitted on November 7, 2006), she refuses to do so because there is dispute between the assignee of this application ABN and Rebecca Rutter as to the inventorship and ownership of this invention.
- 8. The last known address I have for Rebecca Rutter is 27 Cinque Ports way, Seaford, East Sussex, BN25 3UE, United Kingdom; and e-mail <a href="mailto:rebeccarutter@gmail.com">rebeccarutter@gmail.com</a>, and e-mail <a href="mailto:rebeccarutter@gmail.com">rebeccarutter@gmail.com</a>, and e-mail
- 9. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

Page 2 of 3

statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Kate Taylor

14 | 11 | 07 Date Mr T G Thompson Thompson Gray LLP Sussex Innovation Centre Science Park Square Falmer Brighton BN1 9SB

25 October 2007

Your ref: A0182GB/TGT

Our ref: KLT/P102877US1

By Fax: 01273 704454 Sender: Kate Taylor

Pages: 42

BY FACSIMILE AND RECORDED DELIVERY

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Dear Mr Thompson

US Patent Application No 10/561,713 Inflammatory Disease Treatment Advanced Bionutrition Limited

My client, Advanced Bionutrition Limited, has been instructed by Ms Rebecca Rutter to direct all correspondence in connection with the above mentioned US Patent Application to you.

In this regard, I enclose a complete copy of the above mentioned patent application (including the specification, claims and drawings) together with a Declaration for signature by Ms Rutter.

I should be most grateful if Ms Rutter, as a named inventor in respect of this application, would review the attached patent application and then sign the attached Declaration as confirmation that she has read and understood the patent application. In the Declaration, each of the inventors swears that they are the co-inventor of the subject matter claimed in the patent application.

Please return the completed Declaration to me by 7 November 2007 in order that it may be filed at the USPTO. Please do not hesitate to contact me should you have any questions regarding this matter.

Partners: David Goddard Jonathan Couchman Christopher Vaughan Harry Hutchinson Mark Lunt Nigal Sanderson

Vanesse Steinthorpe

Jason Lumber Tony Chelk Jason Boakes Mike Ajello Rosemary Barker David Polter Geoffrey Smith Clifford Want Richard Williams Jonathan Atkinson Gary Wilson Çonsultant**s:** Bob Həli Mary Spears Sentor Associates: Lisa Brown Charlotte Walkins Punita Shan Kote Taylor Rosie Hardy Alastair Lowe Toby Simpson

Siobhán Ward Mark Yeadon David Gamett Richard Jenkins

106 Micklegate York YQ1 6JX UK Tel: +44(0) 1904 732 120 Fax: +44(0) 1904 732 121 Email: ktaylor@hgf.com Harrison Goddard Foote
 HGF are registered
 trade marks

www.hgf.com

25 October 2007

If Ms.Rutter refuses to sign the declaration, please let me know immediately.

Please acknowledge receipt of this letter and its enclosures.

Yours sincerely

Kate Taylor

Senior Associate

For and on behalf of Harrison Goddard Foote

Enc.

US patent application No.: 10/561,713 (including the specification, claims and drawings)

Declaration



## THOMPSON GRAY LLP

25 OCT 2007

Mr T G Thempson Thompson Gray LLP Sussex Innovation Centre Science Park Square Palmer Brighton BN1 9SB

RECEIVED WITH THANKS

25 October 2007

Your ref: A0182GB/TGT Our ref: KLT/P102877US1

By Fax: 01273 704464 Sender: Kate Taylor

Pages: 42

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Dear Mr Thompson

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Please return the completed Declaration to me by 7 November 2007 in order that it may be filed at the USPTO. Please do not hesitate to contact me should you have any questions regarding this matter.

Parties: David Goddard Jonethan Couchman Chickopher Valigh En Harry Putchineon Mark Lunt Nigol Senderson Vanessa Stolenope

Joseph Lumber Tany Cherk Joseph Bookes Kilos Ajako Fluesmary Berker David Pottor Goodray Smith CLEAR WARE JOSEPH ALLINGS GRY WHICH Consultaness Bob Holl Mary Spears Septor Associated
Line Brown
Charlotte Wathing
Purite Shab
Kale Taylor
Rizale Hordy
Alteralis Lomo
Tody Samuson

Signific Ward Mark Yeardon David Garred Richard Jenkins

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www.hgf.com

I Charles Cong

Kate Taylor Harrison Goddard Foote 106 Micklegate York YO1 6JX

Reference: KLT/P102877US1

08 November 2007

Dear Kate.

Further to our conversation yesterday and my subsequent review of the documents sent in your fax of the 25 October 2007.

I will not sign this document for the following reasons:

- 1. It is factually incorrect I am the sole inventor of this work (reference ABN internal document PD31). This document clearly states that I am a co-inventor and that Diane Clayton is the FIRST inventor.
- 2. The mailing address listed for me is incorrect I have provided my address to ABN before (given again below for clarity)
- 3. As previously stated, I am not adverse to ensuring this patent can be exploited, however until such time as ABN offer me a fair and commercially reasonable terms for my invention I am not prepared to sign any documents. This document allows them to benefit by continuing to prosecute the patent in the US market, and therefore provided added value to the company.

I appreciate there is an imminent deadline to meet for this. As soon as ABN either:

1. Provide me with written assurances that signing this declaration does not and will not construe assignment of any rights by me to them as this was invented prior to any contract of employment between myself and ABN or ABNE

AND

2. Provides me with an acceptable contract for the assignment of rights and commercialisation of the product based on the patent

I would be more than happy to provide a signature.

I hope this is clear. Please ensure any and all correspondence is address personally to me, you can either post to my address below or email to rebeccarutter@gmail.com

Many thanks

Rebecca Rutter 27 Cinque Ports way

East Sussex BN25 3UE